UTILITY
PATENT APPLICATION
TRANSMITTAL

Attomey Docket No.		No.	3801P140			
First I	nventor	Kathe	erine Woo			
Title	A Method And System For Offering A Money-Back Guarantee In A Network-Based Marketplace					
Exampa Mail Label No.		hal Na	EX/201701000110			

(Only for new nonprovisional applications under 37 CFR 1.53(b)) xpress wall rapel No. 16030179108208Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents Alexandria, VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) [Total Pages 31] a. Computer Readable Form (CRF) Specification 3. 🔀 (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications - Statement Regarding Fed sponsored R & D paper - Reference to sequence listing, a table, Statements verifying identity of above copies or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) 9. 💢 - Brief Description of the Drawings (if filed) - Detailed Description 37 C.F.R. § 3.73(b) Statement Power of Attorney - Claim(s) (when there is an assignee) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) Copies of IDS 12. 🔲 Information Disclosure Citations Statement (IDS)/PTO-1449 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 18] Application Amended **Preliminary Amendment** 13. 🔲 to Reflect Claim of 14. Return Receipt Postcard (MPEP 503) 5. Oath or Declaration (signed) Total Pages 4 1 **Priority** a. Newly executed (original or copy) (Should be specifically itemized) Certified Copy of Priority Document(s) Copy from a prior application (37 C.F.R. § 1.63(d)) (if foreign priority is claimed) (for continuation/divisional with Box 18 completed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). i. DELETION OF INVENTOR(S) Applicant must attach form PTO/SB/35 or its equivalent. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 17. . Other: 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: of prior application No: Continuation Divisional Continuation-in-part (CIP) Prior application Information: Examiner Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS Customer Number Correspondence address below André L. Marais Name Blakely, Sokoloff, Taylor & Zafman LLP 12400 Wilshire Boulevard, Seventh Floor Address City Los Angeles State California Zip Code 90025-1030 (408) 947-8280 Country **USA** Telephone (408) 947-8200 Fax

Name (Print/Type)	André L Marais	Registration No. (Attorney/Agent)	4,8,09,5
Signature	atriac	Date ()	7/16/03

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$) 1,288.00

Complete if Known					
Application Number					
Filing Date					
First Named Inventor	Katherine Woo				
Examiner Name					
Group/Art Unit					
Attorney Docket No.	3801P140				

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
Check ☐ Credit card ☐ Money ☐ Other ☐ None				3. ADDITIONAL FEES						
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L Deposit Account			Fee	Fee	Fee	Fee				
Deposit Account	02-2666		Code	<b>(5)</b>	Code	(\$)	Fee	Description		Fee Paid
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The Commissioner is author		**	1804	_,	1804	2,520 920 <sup>1</sup>	For filing a request for Requesting publicatio		ation	
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15	s) required under 37 CFR §	§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 '	Requesting publication	n of SIR after		<del></del>
Charge fee(s) indicated be to the above-identified dep	dow, except for the filing foosit account	96	1251	110	2251	55	Extension for reply wit	hin first month		
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1. BASIC FILING			1253		2253	465	Extension for reply wit			
Large Entity Small En	· <del>-</del> -		1254	1,450	2254	725	Extension for reply wit	hin fourth month		
Fee Fee Fee Code (\$) Code	Fee Fee Description (5)	Fee Paid	1255	1,970	2255	985	Extension for reply wit	hin fifth month		<b> </b>
1001 750 2001	375 Utility filing fee	750 00	1404	320	2401	160	Notice of Appeal			
1002 330 2002	165 Design filing fee	750.00	1402	320	2402	160	Filing a brief in suppor	t of an appeal		
1003 520 2003	260 Plant filing fee		1403	280	2403	140	Request for oral heari	ng		
1004 750 2004	375 Reissue filing fee	i	1451	.,		1,510	Petition to institute a p	oublic use proceed	ing	
1005 160 2005	80 Provisional filing fe	е	1452		2452	55	Petition to revive - un			
	SUBTOTAL (1)	(\$) 750.00	1453		2453	650	Petition to revive - uni			
a EVERAGIAIN			1501 1502		2501 2502	650 235	Utility issue fee (or reissue) Design issue fee			
2. EXTRA CLAIN	TEES Extra   Ctairms	Fee from Fee Paid	1503		2503	315	Plant issue fee			<b></b>
Total Claims 43 .	20° = 23 x	18.00 = \$414.00	1460		2460	130	Petitions to the Comm	nissioner		
Independent 4	3° = 1 x	84.00 = \$84.00	1807	50	1807	50	Prosessing fee under	37 CFR 1,17(q)		
Multiple Dependent			1806	180	1806	180	Submission of Informa	ation Disclosure St	mt	
Large Entity Small Ent	ty Geo Fee Description		8021	40	8021	40	Recording each pater property (times numb			40.00
	Claims in excess of 2	20	1809	750	1809	375	Filing a submission af (37 CFR § 1.129(a))	ter final rejection		
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1204 84 2204	42 **Reissue independe patent	nt claims over original	1801		2801	375	Request for Continue		E)	
1205 18 2205	^ / A	veges of 20 and over	1802	900	1802	900	Request for expedited of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent				Other fee (specify)						
SUBTOTAL (2) (\$) 498.00					_		SUBTOTAL (3) (5)			
°°or number previously peid, if greater, For Reissues, see below				ed by Basic Filin	g Fee Paid	1				
SUBMITTED BY Complete (if applicable)										
Name (Print/Type) André L. Marais				Registratio Attomey/Age	on No. 49 005 Tolophone (408) 047-8					

## FEE TRANSMITTAL for FY 2003 Complete if Kn wn Application Number Filing Date Effective 01/01/2003. Patent fees are subject to annual revision. First Named Inventor Katherine Woo **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27. Group/Art Unit **TOTAL AMOUNT OF PAYMENT** (\$) 1,288.00 Attorney Docket No. 3801P140 METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) **ADDITIONAL FEES** Money Order Check Credit card Other ☐ None Deposit Account Large Entity **Small Entity** Fee Fee Code Deposit Code (\$) (\$) Fee Description Fee Paid 02-2666 Number 1051 130 2051 65 Surcharge - late filing fee or oath 1052 2052 50 25 Surcharge - late provisional filing fee or Account Blakely, Sokoloff, Taylor & Zafman LLP 2053 130 2053 130 Non-English specification 1812 1812 2.520 2,520 For filing a request for ex parte reexamination The Commissioner is authorized to: ( check all that apply) 1804 9201 1804 Requesting publication of SIR prior to Charge fee(s) indicated below Credit any overpayments Examiner action Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. 1805 1805 1.840 Requesting publication of SIR after 1.840 Examiner action Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account 1251 110 2251 Extension for reply within first month 55 **FEE CALCULATION** Extension for reply within second month 1252 410 2252 **BASIC FILING FEE** 1253 930 2253 Extension for reply within third month Large Entity Small Entity Extension for reply within fourth month 1254 2254 725 1.450 Fee Fee Fee Fee Fee Description Fee Paid Extension for reply within fifth month 1255 2255 985 1,970 1404 2401 320 160 Notice of Appeal 1001 750 2001 375 Utility filing fee 750.00 1402 320 2402 Filing a brief in support of an appeal 1002 2002 330 Design filing fee 165 1403 280 2403 140 Request for oral hearing 1003 520 2003 260 Plant filing fee 1004 2004 1451 1.510 2451 1.510 Petition to institute a public use proceeding 750 375 Reissue filing fee 1452 2452 1005 160 2005 Provisional filing fee 110 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional SUBTOTAL (1) (\$) 750.00 2501 1501 1,300 650 Utility issue fee (or reissue) EXTRA CLAIM FEES Extra 1502 2502 470 235 Design issue fee Fee from Fee Paid 1503 2503 630 315 Plant issue fee Total Claims 43 2ď' 18.00 23 \$414.00 1460 130 2460 130 Petitions to the Commissioner Independent 84.00 \$84.00 1807 50 1807 50 Prosessing fee under 37 CFR 1.17(a) Multiple Dependent 1806 180 1806 Submission of Information Disclosure Strnt Large Entity Small Entity 8021 40 8021 40 Recording each patent assignment per 40.00 property (times number of properties) Fee Description Code (2) Code Filing a submission after final rejection (37 CFR § 1.129(a)) 1809 750 1809 1202 18 2202 Claims in excess of 20 1810 1201 2201 2810 750 375 For each additional invention to be 84 42 Independent claims in excess of 3 examined (37 CFR § 1.129(b)) 1203 280 2203 140 Multiple Dependent claim, if not paid 1801 750 2801 Request for Continued Examination (RCE) 1204 2204 84 42 \*Reissue independent claims over original patent 1802 1802 Request for expedited examination 900 of a design application 1205 2205 \*\*Reissue claims in excess of 20 and over Other fee (specify) original patent SUBTOTAL (2) (\$) 498.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) 40.00 \*\*or number previously paid, if greater, For Reissues, see below SUBMITTED BY Complete (if applicable)

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